

# **Human Resources Delegations**

May 2023



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# 1. Delegate Levels

#### Level 1

Chief Executive Officer

#### Level 2

Director, Investigation and Reporting, SE Band 1

Director, Business Improvement, SE Band 1

Director, Legal Counsel and Regulatory Advice, SE Band 1

#### Level 3

Non-Executive roles with responsibility for the management of other employees

Manager Investigation and Review Clerk 11/12

Manager Communications and Corporate Affairs Clerk 11/12

Manager Systems and Corporate Services Clerk 11/12

Manager Complaints and Proactive Compliance Clerk 11/12

#### Notes:

A delegation may be temporarily withdrawn by a more senior delegate at any time, provided it is within their area of responsibility. This is to be managed as a local administrative arrangement and must be communicated in writing to relevant delegates.

### 2. General conditions for delegates

The Human Resources Delegations are an important legal document conferring on delegates the authority to perform or authorise particular employment related functions in the Information and Privacy Commission NSW (IPC).

The Human Resources Delegations and the Delegate Levels have been designed to represent a single statement of authority across the IPC.

The scope of the power delegated is limited to the scope of the Chief Executive Officer's power to act.

A delegation may be temporarily withdrawn by a more senior delegate at any time, provided it is within their area of responsibility. This is to be managed as a local administrative arrangement and must be communicated in writing to relevant delegates.

Managers have a responsibility to ensure all their staff, particularly those who hold a delegation, are aware of, and understand the powers and limitations of the delegations and the associated responsibilities.

Delegates found to have exercised a delegation improperly or exceeded the scope of their delegation, may have their delegation(s) revoked without notice, in addition to any other disciplinary action.

A delegate is not authorised to sub-delegate any of the delegated functions to another person unless that power is specifically delegated by the CEO under section 27(2) of the Government Sector Employment Act.

It is the responsibility of delegates to ensure that they have complied with the legislation, award requirements and any other conditions relevant to the delegations they exercise. Department of Customer Service Human Resources officers will be available if required by delegates to clarify any procedures.

Delegates are encouraged to utilise the electronic version (and not print) as the delegations may be withdrawn or varied at any time. The Human Resources Delegations are published on the IPC website at <a href="https://www.ipc.nsw.gov.au/about-us/our-corporate-information/operational-policies-and-procedures">https://www.ipc.nsw.gov.au/about-us/our-corporate-information/operational-policies-and-procedures</a>

#### 2.1 Levels

The Delegate Levels represent broad groups that are based on factors including the level of responsibility, salary and organisational structure. There may also be circumstances where some roles are specifically identified because the group is diverse in rank/grade or identified in a subgroup of a level because of the particular responsibilities. Where a role is identified in a subgroup, the delegate will also assume the generic functions for that level.

An appropriate level of delegation will usually be considered and assigned to a role at the time of its creation or as requested by the CEO. The delegation is attached to the role not the individual. A person formally acting in the role assumes the relevant delegations.

#### 2.2 Exercising a Human Resources delegation

- 2.2.1 A delegate <u>must</u> exercise their delegated authority:
  - in accordance with the relevant legislation, awards, Government and organisational policy, guidance, directives and any other conditions, restrictions or limitations to which the delegation is subject. Any references provided are not meant to be exhaustive. The onus is on the delegate to demonstrate that the conditions were satisfied before the delegation was exercised; and

- relevant to the delegate's area of responsibility only, unless otherwise specified; and
- in conjunction with the Minister's financial delegations under the Government Sector
  Finance Act 2018 and Regulations. The scope of a financial delegation should not be taken
  to expand the scope of any human resources delegation, nor shall the scope of a human
  resources delegation be taken to expand the scope of a financial delegation; and
- subject to availability of appropriately allocated funds, resources and financial delegation to give effect to the decision; and
- by ensuring that all decisions are consistent with the Government Sector Core Values.

#### 2.2.2 Within the context of the IPC a delegate <u>must not</u>:

- exercise a delegated function in respect of herself/himself; or
- obtain approval from a subordinate delegate for authorisation or approval of their own claims or general authorities except in circumstances where there is sound documentation to explain the unique circumstances and the process adopted is documented and capable of systems reproduction; or
- approve any action that provides them with a personal benefit; or
- exercise a delegated function if doing so would involve a conflict of interest or might be perceived to be a conflict of interest; or
- exercise a delegation if, in the opinion of the delegate some special or unusual circumstances are involved which make it sensible that the issue should receive consideration at a more senior level.

### 2.3 Sources of authority

Human Resources related delegations are drawn from the following source documents:

#### Legislation

- Government Sector Employment Act 2013 (GSE Act)
- Government Sector Employment Regulation 2014 (GSE Regulation)
- Government Sector Employment Rules 2014 (GSE Rules)

#### **Industrial instruments**

- Crown Employees (Public Service Conditions of Employment) Reviewed Award 2009-(CECEA)
- Crown Employees (Transferred Employees Compensation) Award (TECA)

#### 2.4 Further information

The Human Resources Delegations are administered by the CEO and updated May 2023.

### 3. Delegated Functions

<u>Search Tips</u>: The table below is sorted by the 'Source Document' however the table can be temporarily modified to accommodate specific searches by placing the cursor in the table, then using the A-Z icon choose the sort order, (when selected columns to sort also make sure you select the header row in list). The keyword description below is a guide to some of the topics included in each keyword. Alternatively, you can search the table using the Ctrl + F (find) function.

#### **Keyword descriptions**

**Association** – means the Public Service Association.

**Employment** – secondments; assignments; staff development; conditions of engagement e.g. qualifications; security checks; bankruptcy and probation; talent pools; ongoing, temporary and casual work; excess employees; secondary / other employment; notification of decisions

**Health** – fitness for duty; health assessments; workers compensation (see also sick leave)

**Hours of work** – attendance; flexible or standard hours; overtime; unauthorised absences; shift work; public holidays; excess travel time

**Industrial matters** – on loan arrangements

**Leave** – recreation; extended; FACS; study; special; domestic violence; military; leave without pay; parental; maternity; adoption; sick; sick as carers; study assistance

Misconduct – inquiries; allegations; findings; action; suspension

**Payments and remuneration** – increments; meal; relocation; actual expenses; CLAS; allowance for temporary assignment; camping; relocation costs; motor vehicle; official travel; compensation for property

**Termination** – includes resignations and retirement on medical grounds

**Unsatisfactory performance** – includes termination, reducing remuneration, reducing classification or assignment to another role

#### **Delegated Functions – table**

The functions delegated by the CEO are contained in the following table:

Source	Reference	Keyword	Subject	Summary of Provision	Related	Level of
Document	Kelelelice	Reyword	Subject	Summary of Provision	References	Delegation
CECEA	10.1	Industrial matters	Local Arrangements	Negotiate local arrangements with the Public Service Association and Professional Officers' Association Amalgamated Union of New South Wales in respect of the whole of the Agency or part of the Agency in relation to any matter contained in the Award subject to the provisions of clause 10.2.		1; 2;
CECEA	11.1	Hours of Work	Working Hours	Determine from time to time the working hours of staff and the manner of their recording in accordance with any direction from the Secretary Treasury.		1; 2; 3;
CECEA	11.3	Hours of Work	Working Hours	Require a staff member to perform duty beyond the hours determined under sub-clause 11.1 of the Award but only if it is reasonable for the staff member to be required to do so.		1; 2; 3;
CECEA	11.6	Hours of Work	Working Hours	Inform all staff of the hours of duty required to be worked and their rights and responsibilities in respect of such hours of duty.		1; 2; 3;
CECEA	12.6.2	Leave	Casual Employment	Agree on the period for which the casual employee will be entitled to not be available to attend work to care for a family member who is sick and requires care and support, or who requires care due to an unexpected emergency, or birth of a child, subject to evidentiary and notice requirements set out in 12.6.4(a) and 12.6.4(b) of the Award.		1; 2; 3
CECEA	12.7.2	Leave	Casual Employment	Agree on the period for which the casual employee will be entitled to not be available to attend work upon the death in Australia of a family member on production of satisfactory evidence.		1; 2; 3
CECEA	13.1.2	Hours of Work	Part-time Employment	Agree to a staff member undertaking part-time work in a part-time position or under a part-time work arrangement.		1; 2;
CECEA	13.1.5	Hours of Work	Part-time Employment	Agree to the hours to be worked, the days upon which they will be worked, commencing and finishing times; whether the hours may be rostered flexibly; whether flexible working hours provisions or standard hours provisions apply and the classification applying to the work to be performed.		1; 2;
CECEA	15A.5	Hours of Work	Lactation Breaks	Provide access to a suitable, private space with comfortable seating for the purpose of breastfeeding or expressing milk.		1; 2; 3;
CECEA	16.1	Hours of Work	Variation of Hours	Vary a staff member's hours of attendance on a one-off, short-term or long-term basis, if that staff member is unable to comply with the general hours operating in the Agency because of limited transport facilities, urgent personal reasons, community or family reasons subject to subclauses (1) - (7).		1; 2;

Source	Reference	Keyword	yword Subject	Summary of Provision	Related	Level of
Document	Kelelelice	Reyword	Subject	Summary of Provision	References	Delegation
CECEA	18.2	Hours of Work	Notification of Absence from Duty	Determine whether an explanation of an absence from duty without authorised leave is satisfactory		1; 2;
CECEA	19.1	Hours of Work	Public Holidays	Direct a staff member to attend for duty on a public holiday; or local holiday; or a public service holiday.		1,2; 3
CECEA	19.1.3	Hours of Work	Public Holidays	Unless directed to attend for duty by the Department Head, a staff member is entitled to be absent from duty without loss of pay on any day which is a day between Boxing Day and New Year's Day determined by the appropriate Department Head as a public service holiday.		Not Delegated
CECEA	19.2	Hours of Work	Public Holidays	Grant time off in lieu, on an hour for hour basis, to a staff member who is required to work on a local holiday.		1; 2; 3
CECEA	20.2	Hours of Work	Standard Working Hours	Grant appropriate leave or time off where a staff member requires to undertake urgent personal business.		1; 2; 3;
CECEA	20.3	Hours of Work	Standard Working Hours	Approve of a staff member, who is late for work, making up time in accordance with sub-clause 20.4 of the Award.		1; 2; 3;
CECEA	20.4	Hours of Work	Standard Working Hours	Agree on a day or days on which a staff member, who has taken time off in circumstances outlined in sub-clauses 20.2 and 20.3 of the Award, may make up the time.		1; 2; 3
CECEA	21.2	Hours of Work	Flexible Working Hours	Determine the operational requirements of the Agency or a section of the Agency for the purposes of a flexible working hours scheme.		Not Delegated
CECEA	21.8	Hours of Work	Flexible Working Hours	Determine the span of hours of a lunch period.		1; 2;
CECEA	21.9.2	Hours of Work	Flexible Working Hours	Extend the "settlement period" of flex leave from the standard 4-week period by a further 4 weeks when exceptional circumstances apply (e.g. a prolonged transport strike).		1; 2;
CECEA	21.18	Hours of Work	Flexible Working Hours	Direct a staff member to work standard hours and not flexible hours.		1; 2;
CECEA	23	Hours of Work	Non-Compliance	Investigate any persistent failure by a staff member to comply with the hours of duty required to be worked and to take appropriate remedial action.		1; 2;
CECEA	26.10	Payments and Remuneration	Travelling Compensation	Limit the allowance payable for part-days of travel to the expenses incurred during such part-day travel determined in 26.9.		1; 2; 3;

Source	Reference	ference Keyword	Subject	Summary of Provision	Related	Level of
Document	Reference	Reyword	Subject	Summary of Provision	References	Delegation
CECEA	26.11	Payments and Remuneration	Travelling Compensation	Require a staff member to work in the same temporary work location for more than 35 days and approve the payment at the appropriate rate as specified in the Award.		1; 2;
CECEA	26.2	Payments and Remuneration	Travelling Compensation	Require staff members to obtain an authorisation for all official travel prior to incurring any travel expense.		1; 2;
CECEA	26.6	Payments and Remuneration	Travelling Compensation	Require a staff member to work from a temporary work location.		1; 2;
CECEA	26.9	Payments and Remuneration	Travelling Compensation	Determine that payment of an allowance is appropriate where expenditure for accommodation and three meals has been incurred by the staff member despite the period being of less than 24 hours duration.		1; 2;
CECEA	27.1	Payments and Remuneration	Excess Travelling Time	Direct a staff member to travel on official business outside the usual hours of duty and determine appropriate compensation for such time in accordance with this clause.		1; 2;
CECEA	29.1	Payments and Remuneration	Meal Expenses on One-Day Journeys	Authorise a staff member to undertake a one-day journey on official business which does not require overnight accommodation and be paid an appropriate allowance.		1; 2;
CECEA	30.1.2	Payments and Remuneration	Restrictions on Payment of Travelling Allowances	Approve the payment of a travelling allowance under clause 26 during a period of leave.		1; 2;
CECEA	31.1.1	Payments and Remuneration	Increase or Reduction in Payment of Travelling Allowances	Determine that a travelling allowance is insufficient to adequately reimburse a staff member for expenses properly and reasonably incurred and reimburse the staff member for the additional costs incurred.		1; 2;
CECEA	31.1.2	Payments and Remuneration	Increase or Reduction in Payment of Travelling Allowances	Determine that a travelling allowance exceeds the amount that would adequately reimburse a staff member for expenses properly and reasonably incurred and to reduce the allowance in such circumstances.		1; 2;
CECEA	32	Payments and Remuneration	Production of Receipts	Accept evidence from a staff member other than the production of receipts for actual expenses.		1; 2;
CECEA	33	Payments and Remuneration	Travelling Distance	Determine the need to obtain overnight accommodation.		1; 2;
CECEA	33	Payments and Remuneration	Travelling Distance	Grant overnight accommodation where staff members are required to make an early start at work in a location away from their normal workplace.		1; 2;
CECEA	34.3	Payments and Remuneration	Camping Allowances	Determine that it was not reasonable in the circumstances for a staff member to camp.		1; 2;

Source Document	Reference	Keyword	Subject	Summary of Provision	Related References	Level of
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CECEA	35.3	Payments and Remuneration	Composite Allowance	Approve an election by a staff member to be paid an all-inclusive allowance for accommodation, meals and incidental expenses incurred as a result of being required to work in the field.		1; 2;
CECEA	35.8	Payments and Remuneration	Composite Allowance	Certify that it is necessary for a staff member to provide camping equipment at personal expense.		1; 2;
CECEA	36.1	Payments and Remuneration	Allowance Payable for Use of Private Motor Vehicle	Authorise a staff member to use a private motor vehicle for work in accordance with sub-clauses 36.1.1 and 36.1.2.		1; 2;
CECEA	36.2	Payments and Remuneration	Allowance Payable for Use of Private Motor Vehicle	Approve that a staff member uses a private motor vehicle for work and be paid an appropriate rate of allowance as specified in the Award.		1; 2;
CECEA	36.5	Payments and Remuneration	Allowance Payable for Use of Private Motor Vehicle	Approve the amount and form of comprehensive motor vehicle insurance in respect of a private motor vehicle used for work.		1; 2;
CECEA	41	Payments and Remuneration	Overseas Travel	Determine that a staff member shall be paid travelling rates especially determined for the occasion for travel overseas on official business, and to determine such travelling rates.		Not Delegated
CECEA	42.1	Employment	Exchanges	Arrange two way or one way staff exchanges with other organisations both public and private.		1; 2;
CECEA	42.2	Employment	Exchanges	Determine the conditions applicable to those staff members who participate in staff exchanges, according to the individual circumstances in each case.		1; 2;
CECEA	46.1	Employment	Uniforms, Protective Clothing and Laundry Allowance	Require or authorise a staff member to wear a uniform, protective clothing or other specialised clothing in connection with the performance of official duties.		1; 2;
CECEA	47.2	Payments and Remuneration	Compensation for Damage to or Loss of Staff Member's Personal Property	Compensate a staff member for damage or loss to private property that occurs in the course of employment, if a claim is rejected by the appropriate insurer.		1; 2;
CECEA	47.3	Payments and Remuneration	Compensation for Damage to or Loss of Staff Member's Personal Property	Compensate a staff member for damage or loss to private property that occurs in the course of employment by way of paying the cost of a replacement item.		1; 2;
CECEA	48.1	Payments and Remuneration	Garage and Carport Allowance	Determine that the use of a garage or carport is essential where a staff member garages a departmental vehicle in their own garage or carport and be paid an appropriate rate of allowance as specified in the Award.		1; 2;

Source	Reference	nce Keyword	Subject	Summary of Provision	Related	Level of
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CECEA	50.3.1	Payments and Remuneration	Community Language Allowance Scheme (CLAS)	Determine whether a staff member is regularly required to meet high levels of customer demand involving a regular pattern of usage of the staff member's language skills.		1; 2;
CECEA	56.1.6 (c)	Industrial matters	Conditions Applying to On Loan Arrangements	Negotiate with the Association, the financial arrangements when a staff member is placed "on loan" to the Association.		1; 2;
CECEA	56.1.8	Industrial matters	Conditions Applying to On Loan Arrangements	Extend an "on loan" arrangement where the Association has made an approach in writing for an extension of time well in advance of the expiration of the current period of the loan arrangement.		1; 2;
CECEA	60.1.1	Industrial matters	Responsibilities of the Trade Union	Request written confirmation about an accredited trade union delegate's attendance/participation in a trade union activity.		1; 2;
CECEA	68.3	Hours of Work	Absence from Work	Deduct from a staff member's pay an amount representing the period of absence from duty without authorised leave for which a satisfactory explanation has not been provided.		1; 2;
CECEA	69.1	Leave	Applying for Leave	Deal with an application by a staff member for leave of absence for recreation leave or extended leave.		1; 2; 3
CECEA	71.1	Leave	Family and Community Services Leave	Grant a staff member, some or all of the available family and community service leave on full pay for reasons relating to unplanned and emergency family responsibilities or other emergencies in sub-clause 71.2 and for purposes in sub-clause 71.3.		1; 2; 3
CECEA	71.6	Leave	Family and Community Services Leave	Grant additional family and community service leave, where available family and community service leave is exhausted as a result of natural disasters, if some other emergency arises.		1; 2; 3
CECEA	71.7	Leave	Family and Community Services Leave	Grant additional paid family and community service leave, of up to 2 days per occasion, on the death of a person defined in sub-clause 81.4 of the Award, where available family and community service leave is exhausted or is unavailable.		1; 2; 3
CECEA	71.9	Leave	Family and Community Services Leave	Grant a staff member other forms of leave such as accrued recreation leave, time off in lieu or flex leave for family and community service leave purposes.		1; 2; 3
CECEA	72.1	Leave	Leave Without Pay	Grant leave without pay on a part-time or full-time basis, to a staff member if good and sufficient reason		1; 2;
CECEA	72.5	Employment	Leave Without Pay	Approve a staff member engaging in employment of any kind during a period of approved leave without pay.	Clause 7 GSE Regulation	1; 2;

Source	Reference	e Keyword	Subject	Summary of Provision	Related	Level of
Document	Reference	Reyword	Oubject	Cultillary of Frovision	References	Delegation
CECEA	73.1	Leave	Military Leave	Grant a staff member, who is a volunteer part-time member of the Defence Forces, military leave on full pay to undertake compulsory annual training and to attend schools, classes or courses of instruction or compulsory parades conducted by the staff member's unit.		1; 2; 3
CECEA	73.3	Leave	Military Leave	Grant up to 24 working days military leave per financial year to members of the Naval and Military Reserves and up to 28 working days per financial year to members of the Air Force Reserve for the activities specified in sub-clause 73.1.		1; 2; 3
CECEA	73.4	Leave	Military Leave	Grant a staff member special leave of up to 1 day to attend medical examinations and tests required for acceptance as volunteer part-time members of the Australian Defence Forces.		1; 2; 3
CECEA	73.5	Leave	Military Leave	Grant Military Leave Top up Pay to a staff member who is requested by the Australian Defence Forces to provide additional military services requiring leave in excess of the entitlement specified in sub-clause 73.3.		1; 2; 3
CECEA	73.8	Leave	Military Leave	Require a staff member at the expiration of military leave, to provide a certificate of attendance and details of the staff member's reservist pay signed by the commanding officer or other responsible officer.		1; 2; 3
CECEA	74.2	Leave	Observance of Essential Religious or Cultural Obligations	Grant recreation/extended leave to credit, flex leave or leave without pay to a staff member of any religious faith or ethnic or cultural background for the purpose of observing essential religious or cultural obligations of that faith or ethnic or cultural background provided adequate notice is given and it is operationally convenient to release the staff member from duty.		1; 2; 3
CECEA	74.3	Leave	Observance of Essential Religious or Cultural Obligations	Grant time off during daily working hours to a staff member of any religious faith to attend to essential religious obligations, subject to adequate notice being given, prior approval being obtained and the time off is made up in an approved manner.		1; 2; 3
CECEA	74.3.3	Leave	Observance of Essential Religious or Cultural Obligations	Approve of the manner in which time off is to be made up by a staff member of any religious faith who takes time off during daily working hours to attend to essential religious obligations.		1; 2; 3
CECEA	75.3.2	Leave	Parental Leave	Grant adoption leave for a period not exceeding 12 months, if the child has commenced school at the date of the taking of custody.		1; 2;

Source	Reference	Keyword	Subject	Summary of Provision	Related	Level of
Document				,	References	Delegation
CECEA	75.9.1	Leave	Parental Leave	Consider a written request made under 75.2, 75.3 or 75.4 to extend the period of unpaid parental leave for a further continuous period of leave not exceeding 12 months or to return from a period of full-time parental leave on a part-time basis until the child reaches school age - and only refuse the request on reasonable grounds related to the effect on the workplace.		1; 2;
CECEA	75.10.4	Leave	Parental Leave	Require a staff member's request to take parental leave and any decision made under 75.9 to be in writing.		1; 2; 3
CECEA	75.10.5	Leave	Parental Leave	Require a staff member intending to request to return from parental leave on a part-time basis or seek an additional period of leave of up to 12 months, to do so in writing as soon as practicable and preferably before the parental leave commences.		1; 2; 3
CECEA	75.13	Leave	Parental Leave	Approve a staff member returning to work on a part-time basis at the same grade and classification as the staff member's former position.		1; 2;
CECEA	75.18	Leave	Parental Leave	Take all reasonable measures to arrange safer alternative duties where a pregnant staff member is having difficulty in performing her normal duties or there is a risk to her health or that of her unborn child.		1; 2;
CECEA	75.19	Leave	Parental Leave	Grant a pregnant staff member maternity leave, or available sick leave, for as long as it is necessary where adjustments under 75.18 cannot reasonably be made.		1; 2;
CECEA	76.1	Leave	Purchased Leave	Enter into an agreement with a staff member to purchase additional leave in a 12-month period in accordance with sub-clauses 76.1 - 76.4.		1; 2;
CECEA	77.2.1	Leave	Recreation Leave	Agree, in special circumstances, that a staff member may take less than 2 consecutive weeks of recreation leave in a 12-month period.		1; 2;
CECEA	77.2.3	Leave	Recreation Leave	Direct a staff member, who has been notified in writing that accrued recreation leave has reached 6 weeks or its hourly equivalent, to take at least 2 weeks recreation leave within 3 months of the notification of the accrued leave.		1; 2; 3
CECEA	77.2.4	Leave	Recreation Leave	Direct a staff member, who has been notified in writing that accrued recreation leave has reached 8 weeks or its hourly equivalent, to take at least 2 weeks recreation leave within 6 weeks of the notification of the accrued leave.		1; 2; 3;

Source	Reference	e Keyword	Subject	Summary of Provision	Related	Level of
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CECEA	77.3	Leave	Recreation Leave	Determine that a staff member is prevented by operational or personal reasons from taking sufficient recreation leave to reduce accrued leave below an acceptable level of between 4 and 6 weeks or its hourly equivalent.		1; 2; 3;
CECEA	77.3.1	Leave	Recreation Leave	Specify in writing the period of time during which excess recreation leave shall be conserved.		1; 2; 3;
CECEA	77.3.2	Leave	Recreation Leave	Grant leave, at the expiration of a period during which conservation of recreation leave applies, to enable accrued leave to be reduced to an acceptable level below the 8-week limit.		1; 2; 3;
CECEA	77.3.3	Leave	Recreation Leave	Inform a staff member in writing on a regular basis of the staff member's recreation leave accrual.		1; 2; 3;
CECEA	77.6.3	Leave	Recreation Leave	To determine the person who was, at the time of the staff member's death, a dependent relative of the staff member, if there is no widow, widower or children.		1; 2;
CECEA	79.3.1	Leave	Sick Leave	Grant sick leave on full pay to a staff member who is unable to perform duty because of the staff member's illness or the illness of his/her family member.		1; 2; 3;
CECEA	79.3.2	Leave	Sick Leave	Grant sick leave without pay to a staff member who is unable to perform duty because of the staff member's illness if the absence exceeds the staff member's entitlement to sick leave on full pay.		1; 2;
CECEA	79.4.1	Leave	Sick Leave	Direct a staff member to take sick leave if the delegate is satisfied that, due to the staff member's illness, the staff member is unable to carry out their duties without distress.		1; 2; 3
CECEA	79.4.2	Leave	Sick Leave	Direct a staff member to take sick leave if the delegate is satisfied that, due to the staff member's illness, the staff member risks further impairment of their health by reporting for duty.		1; 2; 3
CECEA	79.4.3	Leave	Sick Leave	Direct a staff member to take sick leave if the delegate is satisfied that, due to the staff member's illness, the staff member is a risk to the health, wellbeing or safety of other staff members, Departmental clients or members of the public.		1; 2; 3
CECEA	79.5	Leave	Sick Leave	Direct a staff member to participate in a return to work program if the staff member has been absent on a long period of sick leave.		1; 2;
CECEA	79.7	Leave	Sick Leave	Approve that paid sick leave of a staff member in the first 3 months of service not be limited to 5 days.		1; 2;

Source	Reference	Keyword	Subject	Summary of Provision	Related	Level of
Document	Kelelelice	Reyword	Subject	Summary of Provision	References	Delegation
CECEA	80.1	Leave	Sick Leave – Requirements for Evidence of Illness	Require a staff member to furnish evidence of illness in respect of an absence from duty for more than 2 consecutive working days because of illness.		1; 2; 3;
CECEA	80.2	Leave	Sick Leave – Requirements for Evidence of Illness	Require a staff member who absents themselves in excess of 5 working days in a calendar year to furnish evidence of illness for each occasion absent for the balance of the calendar year.		1; 2; 3;
CECEA	80.3	Leave	Sick Leave – Requirements for Evidence of Illness	Grant sick leave for the entire period applied for, where the staff member provides evidence of illness covering the latter part of the absence only and the delegate is satisfied the reason for the absence is genuine.		1; 2; 3;
CECEA	80.4	Leave	Sick Leave – Requirements for Evidence of Illness	Advise a staff member in advance of any requirement to provide evidence of illness for an absence from duty for 2 consecutive working days or less because of illness.		1; 2; 3;
CECEA	80.5	Leave	Sick Leave – Requirements for Evidence of Illness	Refer evidence of illness provided by a staff member and a staff member's application for leave to an approved medical assessor for advice, after discussion with the staff member.		1; 2; 3
CECEA	80.5	Leave	Sick Leave – Requirements for Evidence of Illness	Discuss with a staff member any concerns about the diagnosis described in the evidence of illness produced by a staff member.		1; 2; 3
CECEA	80.5.1	Leave	Sick Leave – Requirements for Evidence of Illness	Determine the nature of leave to be granted to a staff member based on the advice of an approved medical assessor.		1; 2;
CECEA	80.5.2	Leave	Sick Leave – Requirements for Evidence of Illness	Take into account the wishes of the staff member when determining the nature of leave to be granted where sick leave applied for is not granted.		1; 2;
CECEA	80.7.1	Leave	Sick Leave – Requirements for Evidence of Illness	Determine that a medical certificate for up to one week furnished by a registered health services provider as set out in the Award, rather than a registered medical practitioner, is acceptable.		1; 2; 3;
CECEA	80.7.3	Leave	Sick Leave – Requirements for Evidence of Illness	Accept other forms of evidence to satisfy that a staff member had a genuine illness.		1; 2; 3;
CECEA	80.8	Leave	Sick Leave – Requirements for Evidence of Illness	Grant sick leave to a staff member who is absent on recreation leave or extended leave and produces a satisfactory medical certificate for an illness which occurred during the leave. In respect of extended leave, the period set out in the medical certificate must be 5 working days or more.		1; 2; 3;

Source Document	Reference	Keyword	Subject	Summary of Provision	Related	Level of
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CECEA	81.1	Leave	Sick Leave to Care for a Family Member	Grant sick leave to a staff member, from sick leave accumulated over the previous 3 years, where family and community service leave provided in clause 71 is exhausted or is unavailable and the staff member has responsibilities in relation to a category of person set out in clause 81.4.		1; 2; 3;
CECEA	81.2	Leave	Sick Leave to Care for a Family Member	Grant additional sick leave to a staff member, in special circumstances, from sick leave accumulated during the staff member's eligible service, where family and community service leave provided in clause 71 is exhausted or is unavailable and the staff member has responsibilities in relation to a category of person set out in clause 81.4.		1; 2; 3,
CECEA	81.3	Leave	Sick Leave to Care for a Family Member	Require the production of evidence consistent with sub-clause 80.6 in respect of the illness of a person for which additional sick leave is sought following the exhaustion of family and community service leave.		1; 2; 3;
CECEA	82.1	Leave	Sick Leave – Workers Compensation	Advise each staff member of their rights under the Workers Compensation Act 1987 and the Workplace Injury Management and Workers Compensation Act 1998 and give assistance and advice, as necessary, in relation to the lodging of any claim.		1; 2; 3;
CECEA	82.3	Health	Sick Leave – Workers Compensation	Assist a staff member or the representative of a staff member who is unable to lodge a claim for compensation under the Workers Compensation Act 1987 and the Workplace Injury Management and Workers Compensation Act 1998, due to illness or injury, to lodge a claim for compensation.		1; 2; 3;
CECEA	82.4	Health	Sick Leave – Workers Compensation	Lodge a staff member's claim for compensation with the appropriate workers compensation insurer within the statutory period described in the Workers Compensation Act 1987 and the Workplace Injury Management and Workers Compensation Act 1998.		1; 2; 3;
CECEA	82.5	Leave	Sick Leave – Workers Compensation	Grant sick leave on full pay, or, if necessary, sick leave without pay or recreation leave or extended leave pending the determination of a claim for compensation under the Workers Compensation Act 1987 and the Workplace Injury Management and Workers Compensation Act 1998.		1; 2; 3;
CECEA	82.8	Leave	Sick Leave – Workers Compensation	Grant sick leave in respect of an absence where the staff member does not intend to make a claim for compensation under the Workers Compensation Act 1987 and the Workplace Injury Management and Workers Compensation Act 1998.		1; 2; 3;

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CECEA	83.2	Leave	Sick Leave – Claims other than Workers Compensation	Determine that the refusal or failure of a staff member to complete an undertaking to repay the monetary value of any period of sick leave, in respect of which a claim for damages or compensation other than under the Workers Compensation Act 1987 and the Workplace Injury Management and Workers Compensation Act 1998 is made, is unavoidable.		1; 2;
CECEA	84.1.3	Leave	Special Leave	Grant special leave on full pay on receipt of a certificate of attendance on jury service in respect of any period for which the staff member has been paid out-of-pocket expenses only. In any other case, grant, at the sole election of the staff member,		1; 2; 3;
CECEA	84.5.1	Leave	Special Leave	Approve of an examination for which a staff member may be granted a maximum of 5 days special leave in any one year.		1; 2; 3;
CECEA	84.10	Leave	Special Leave	Grant special leave on full pay to a staff member, subject to the conditions specified in the Personnel Handbook at the time the leave is taken.		1; 2; 3;
CECEA	84A.4	Leave	Leave for Matters Arising from Domestic Violence	Be satisfied, on reasonable grounds, that domestic violence has occurred and may require proof presented in the form of an agreed document issued by the Police Force, a Court, a Doctor, a Domestic Violence Support Service or Lawyer.		1; 2; 3;
CECEA	84A.6	Leave	Leave for Matters Arising from Domestic Violence	Facilitate, where appropriate, flexible working arrangements subject to operational requirements, including changes to working times and changes to work location, telephone number and email address.		1; 2;
CECEA	85.3.1	Employment	Staff Development and Training Activities	Determine that a staff development or training related activity is essential for the efficient operation of the Agency.		1; 2; 3;
CECEA	85.3.2	Employment	Staff Development and Training Activities	Determine that a staff development or training activity is developmental and of benefit to the NSW public sector.		1; 2; 3;
CECEA	85.4.5	Employment	Staff Development and Training Activities	Determine that an approval to attend a staff development and training activity, where the activity could not be conducted during the staff member's normal working hours, constitutes a direction to work overtime.		1; 2; 3;
CECEA	85.5.4	Employment	Staff Development and Training Activities	Determine such other conditions to apply, such as compensatory leave for excess travel or payment of travelling expenses, in relation to activities which are developmental and of benefit to the Agency.		1; 2;
CECEA	86.1	Leave	Study Assistance	Grant or refuse study time subject to sub-clause 86.2.		1; 2;

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CECEA	86.2.1	Leave	Study Assistance	Determine if a course is relevant to the Department and/or the public service.		1; 2;
CECEA	86.21	Leave	Study Assistance	Determine the extent of financial assistance to be provided to a staff member who is granted study leave with financial assistance for full-time study, according to the relevance of the study to the workplace. The financial assistance may be granted up to the amount equal to full salary and for part or all of the study leave period.		1; 2;
CECEA	86.21	Leave	Study Assistance	Grant study leave with or without pay without financial assistance, for full-time study.		1; 2;
CECEA	86.21	Leave	Study Assistance	Grant study leave, with financial assistance, for full-time study.		1; 2;
CECEA	87.9	Hours of Work	Shift Work	Negotiate individual alternative arrangements where current or proposed shift arrangements are incompatible with a staff member's family, religious or community responsibilities.		1; 2; 3;
CECEA	88.1	Hours of work	Overtime - General	Direct a staff member to work overtime, provided it is reasonable for the staff member to be required to do so.		1; 2; 3;
CECEA	94.1	Payments and Remuneration	Overtime – Meal Allowances	Determine that a meal allowance should be paid at the appropriate rate to a staff member directed to work overtime.		1; 2; 3;
CECEA	94.2	Payments and Remuneration	Overtime – Meal Allowances	Approve the payment of actual expenses where an overtime meal allowance is insufficient to reimburse a staff member the cost of a meal, properly and reasonably incurred.		1; 2; 3;
CECEA	94.4	Payments and Remuneration	Overtime – Meal Allowances	Require a staff member to provide receipts in support of any claims for additional expenses or when a staff member is required to substantiate the meal expenses claim.		1; 2; 3;
CECEA	95	Hours of work	Rate for Payment of Overtime	Approve payment for directed overtime at a staff member's salary or, where applicable, salary and allowance in the nature of salary, where the staff member's salary exceeds the maximum rate for Clerk Grade 8.		1; 2; 3;
CECEA	96.1	Leave	Payment for Overtime or Leave in Lieu	Grant compensation for directed overtime worked by payment at the appropriate rate or, if the staff member so elects, by the grant of leave in lieu in accordance with 96.2.		1; 2; 3;

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GSE Act	29	Employment	Acting appointments as head of agency (other than Department)	Act as the head of the agency if the office of the head is vacant or if the head is unavailable, and no other person has been duly appointed to act as a statutory officer who is the head of that office, with the person while acting having the functions of the head and the acting appointment may be terminated at any time.		Not delegated as appointment occurs under GIIC Act 2009
GSE Act	31	Employment	Employ Public Service employees	To carry out employer functions in relation to employees of an agency		Not Delegated
GSE Act	32	Employment	Employ Public Service employees (other than Senior Executives)	To carry out the following employer functions under s31 of the GSE Act; to employ Public Service employees (other than Senior Executives) and sign appointment letters.		1,2
GSE Act	38 (2)	Employment	Assignment of senior executives to roles in bands across Public Service	Assign a senior executive to a role in the band in which they are employed.	Rule 11	Not Delegated
GSE Act	41 (1)	Termination	Termination of employment of senior executives	Approve the termination of employment of a senior executive at any time, for any or no stated reason and without notice.		Not delegated
GSE Act	46 (2)	Employment	Assignment to roles in work classifications	Assign non-executive employees to roles in the agency in the classification of work in which the employees are employed subject to the condition specified in subsection 46 (4).	Rule 11	1; 2;
GSE Act	47 (1)	Termination	Termination of employment	Terminate the employment of an employee, by instrument in writing, on the basis of the failure to meet the condition of engagement imposed in section 54; lacks or has lost an essential qualification; unsatisfactory performance; retirement on medical grounds; refusal to perform duties; abandonment of employment; a finding of misconduct; a conviction; employee to be excess and any other grounds as prescribed by the regulation.	Rule 14 Section 68 GSE Act	1; 2;
GSE Act	47 (2)	Termination	Termination of employment	Terminate the employment of an employee, by instrument in writing, at any time if the employment is not ongoing employment.	Rule 14	1; 2;
GSE Act	53 (4)	Employment	Industrial Relations provisions	Notify the Industrial Relations Secretary of such industrial matters affecting the agency as the Industrial Relations Secretary specifies in a notice given to the head of the agency.		1, 2;
GSE Act	55	Termination	Resignation	Deal with the written resignation from a non-executive employee.		1; 2;

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GSE Act	56	Termination	Retirement on medical grounds	Approve the retirement of a person who is an employee if the person is found to be unfit to perform or incapable of performing the duties of the person's employment and the person's unfitness or incapacity appears likely to be of a permanent nature and has not arisen from actual misconduct on the part of the person, or from causes within the person's control.		1; 2;
GSE Act	65	Employment	Cross-agency employment	Determine in conjunction with the head of another government sector agency that a person employed in 2 or more government sector agencies be regarded as employed in one of those agencies for any conditions of employment purposes.		1; 2;
GSE Act	66 (2)	Employment	Temporary assignments of staff between government sector agencies and other relevant bodies	Arrange for a temporary assignment to be made between the heads of the government sector agencies or between the head of the government sector agency and the person responsible for the employment of persons in or by the non-government sector body.		1; 2;
GSE Act	66 (3)	Employment	Temporary assignments of staff between government sector agencies and other relevant bodies	Approve of a person employed in or by a non-government sector body (other than a local council or State owned corporation) is not to be temporarily assigned to carry out work in or for a government sector agency unless the head of the agency is satisfied that the temporary assignment is justified because of the special skills of the person or the special circumstances of the case and the period of any one assignment does not exceed 2 years.		1; 2;
GSE Act	68 (2)	Unsatisfactory Performance	Unsatisfactory performance of government sector employees	Take any of the following actions, in accordance with this section and the rules, if the performance of an employee is determined to be unsatisfactory (without limitation on relevant action) namely to, terminate the employment (after giving the employee an opportunity to resign), reduce the remuneration paid, reduce the classification or grade or assign the employee to a different role subject to rule 36.	Rule 36	1; 2;
GSE Act	69 (2)	Misconduct	Misconduct – Public Service and other prescribed government sector employees	Deal with any misconduct by employees or any conviction for a serious offence by any such employees.	Rules 37-41	1; 2;

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GSE Act	69 (4)	Misconduct	Misconduct – Public Service and other prescribed government sector employees	Take any of the following actions, in accordance with the rules, where there is a finding of misconduct by an employee or an employee is found to have been convicted of a serious offence, namely to terminate the employment of the employee, with or without giving the employee an opportunity to resign; impose a fine on the employee; reduce the remuneration payable to the employee; reduce the classification or grade of the employee; assign the employee to a different role and/or caution or reprimand the employee subject to rule 40 (2).	Rule 40 (2)	1; 2;
GSE Act	70 (2)	Misconduct	Suspension of employees from duty pending decision in relation to misconduct, criminal charge or corrupt conduct	Suspend an employee from duty until an allegation of misconduct or a criminal charge for a serious offence has been dealt with and any subsequent action has been taken.		1; 2;
GSE Act	70 (3)	Misconduct	Suspension of employees from duty pending decision in relation to misconduct, criminal charge or corrupt conduct	Suspend an employee from duty until the completion of any corrupt conduct finding by the Independent Commission Against Corruption, against an employee of the kind referred to in section 114A of the Independent Commission Against Corruption Act 1988, or any investigation into the conduct of an employee that may lead to such a finding is completed.		1; 2;
GSE Act	70 (4)	Misconduct	Suspension of employees from duty pending decision in relation to misconduct, criminal charge or corrupt conduct	Direct that any remuneration payable to an employee while the employee is suspended from duty under section 70 is to be withheld.		1; 2;
GSE Act	70 (5)	Misconduct	Suspension of employees from duty pending decision in relation to misconduct, criminal charge or corrupt conduct	Direct that any remuneration withheld under section 70 (4) from the employee is not to be forfeited to the State.		1; 2;
GSE Act	70 (6)	Misconduct	Suspension of employees from duty pending decision in relation to misconduct, criminal charge or corrupt conduct	Remove a suspension from duty imposed on an employee under this section.		1; 2;
GSE Act	74	Employment	Excess employees – jurisdiction of Industrial Relations Commission	Determine that an employee is excess to requirements where his or her role, position or work has been abolished or terminated and he or she is an excess or displaced employee.	Rule 13 (1) & 23	1; 2;

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GSE Act	Schedule 4 8 (2) (b) (ii)	Employment	Senior executives (other than Secretaries and other heads of agencies) – transitional arrangements	Approve an additional period of employment for a transitional former senior executive who is a former SES executive before the implementation of the new executive structure.		Not delegated
GSE Act	Schedule 4 8 (5) (d) (iii)	Employment	Senior executives (other than Secretaries and other heads of agencies) – transitional arrangements	Approve limiting the initial recruitment action to fill a role in the new senior executive structure to any employees in the Agency.		Not delegated
GSE Act	Schedule 4 8B (4)	Payments and Remuneration	Transitional provisions relating to remuneration of senior executives	Determine the allowance to be paid to a former Senior Officer who is subsequently employed as a senior executive and is assigned to a role that is of equivalent work value as under the previous Act, to avoid any financial hardship compared to the remuneration payable immediately before the repeal of the former Act.		Not delegated
GSE Regulation	4	Employment	Decisions under Regulation to be notified	Approve of any decision by a relevant person under this Regulation to be published or notified as considered necessary.		1; 2;
GSE Regulation	7	Employment	Employees not to undertake other paid work without permission	Give permission for an employee to undertake other paid employment.	CECEA 72.5	1; 2;
GSE Regulation	10 (1)	Employment	Employee to report bankruptcy	Specify the period in which information is to be provided with respect to the cause of the bankruptcy or of the making of composition, arrangement or assignment.		1; 2;
GSE Regulation	10 (3)	Employment	Employee to report bankruptcy	Require as a condition of engagement of a person in a role relating to financial management to declare, before the person is engaged in the role, whether or not the person has at any time been declared bankrupt or made a composition, arrangement or assignment for the benefit of the person's creditors.		1; 2;
GSE Regulation	12 (a) (b)	Hours of Work	Public holidays	Require an employee to attend for duty on a day that is a public holiday throughout the state; or a day (or part of a day) that is a public holiday in that part of the state at or from which the employee is working or a day between Boxing Day and New Year's Day.		1; 2;
GSE Regulation	13 (3)	Hours of Work	Absence from duty	Cause the deduction of pay from an employee who is absent from duty without reasonable cause or a satisfactory explanation.		1; 2;
GSE Regulation	14 (1)	Payments and Remuneration	Increments	Approve the payment of an increment to an employee in accordance with any State industrial instrument or public service determination.		1; 2; 3;

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GSE Regulation	14 (2)	Payments and Remuneration	Increments	Determine that the payment of the increment is subject to the performance requirements of the performance management system and the conduct and performance of an employee is satisfactory.		1; 2; 3;
GSE Regulation	14 (2)	Payments and Remuneration	Increments	Approve the acceleration of the progression of an employee through the increment scale applying to the employee.		1; 2;
GSE Regulation	14 (4)	Payments and Remuneration	Increments	Determine the criteria for satisfactory performance of duties and satisfactory conduct of the employee prior to the performance management system being in operation.		1; 2; 3;
GSE Regulation	14 (5)	Payments and Remuneration	Increments	Defer the payment of an increment from time to time but for no more than 12 months at any one time.		1; 2;
GSE Regulation	14 (6)	Payments and Remuneration	Increments	Notify an employee in writing of any decision to defer payment of an increment, including the reasons for the decision.		1; 2;
GSE Regulation	15 (2)	Health	Fitness for duty	Direct an employee to submit to a medical examination or other health assessment as considered necessary, on the advice of a nominated medical assessor, if there is reason to believe that an employee is not fit for duty.		1; 2;
GSE Regulation	15 (4)	Health	Fitness for duty	Direct in writing that an employee, if absent from duty, must resume duty if the nominated health assessor determines that the employee is fit for duty.		1; 2;
GSE Regulation	15 (5)	Health	Fitness for duty	Approve the employee ceasing duty with written notification provided and not resuming duty unless the nominated medical assessor determines.		1; 2;
GSE Regulation	15 (6)	Health	Fitness for duty	Determine the nature of leave, if any, to be granted to the employee during the absence from duty if directed under subclause (4) or (5).		1; 2;
GSE Regulation	15 (7)	Health	Fitness for duty	Provide the health care professional with the requested employment information reasonably required for the assessment.		1; 2;
GSE Regulation	20 (2)	Payments and Remuneration	Allowance for temporary assignments to higher non-executive roles	Determine the appropriate point in the salary range of the other role, taking into account the employee's capabilities, knowledge and experience, before the employee starts the temporary assignment.		1; 2;
GSE Regulation	20 (3)	Payments and Remuneration	Allowance for temporary assignments to higher non-executive roles	Determine the amount of the allowance to be paid to a Public Service non-executive employee temporarily assigned to another non-executive role, before the employee starts the temporary assignment.		1; 2;
GSE Regulation	20 (4)	Payments and Remuneration	Allowance for temporary assignments to higher non-executive roles	Determine that an allowance is paid for being temporarily assigned to another non-executive role for a single period of less than 5 working days.		1; 2;

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GSE Regulation	21 (3)	Payments and Remuneration	Allowance for temporary assignments to executive roles	Approve the payment of an allowance, to an executive employee temporarily assigned to a higher executive role, based on the difference between the person's usual role and the notional salary of the executive role.		Not delegated
GSE Regulation	21 (4)	Payments and Remuneration	Allowance for temporary assignments to executive roles	Determine that the amount of the allowance to be paid is the difference between the executive's remuneration package and the total amount of the remuneration package of the executive role to which the executive is temporarily assigned and corresponds to a point in the remuneration range for that executive role.		Not delegated
GSE Regulation	21 (5)	Payments and Remuneration	Allowance for temporary assignments to executive roles	Determine the amount of the allowance to be paid, proportionate to the duties to be performed, to an executive or non-executive employee temporarily assigned to executive role, before the employee starts the temporary assignment.		1;
GSE Regulation	21 (6)	Payments and Remuneration	Allowance for temporary assignments to executive roles	Determine that an allowance is paid for being temporarily assigned to another executive role for a single period of less than 5 working days.		Not delegated
GSE Regulation	23 (1)	Payments and Remuneration	Payment where allowance not adequate or available	Determine that the actual expenses properly and reasonably incurred by an employee in the performance of official duties are not adequately covered by an allowance to which the employee is entitled under this Regulation, or are not covered by an allowance under this Regulation or under any State industrial instrument.		1; 2;
GSE Regulation	23 (3)	Payments and Remuneration	Payment where allowance not adequate or available	Reduce an allowance if it exceeds without good cause any limit for expenses approved in advance.		1; 2;
GSE Regulation	38	Payments and Remuneration	Allowances for Public Service senior executives	Approve a Public Service senior executive being paid travelling, subsistence, reasonable relocation expenses and other allowances for expenses incurred in the discharge of duties as the executive's employer may determine.		Not delegated
GSE Regulation	Schedule1 5 (1) (c)	Leave	Leave to be paid out to dependents in cases of death	Determine who, at the time of an employee's death, was a dependent relative of the employee for the purposes of the payment of the money value of extended leave not taken or not completed.		1; 2;
GSE Regulation	Schedule 1 5 (2) (c)	Leave	Leave to be paid out to dependents in cases of death	Determine who, at the time of an employee's death with at least 5 years' service but less than 7 years' service, was a dependent relative of the employee for the purposes of the payment of the money value of extended leave not taken or not completed.		1; 2;

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GSE Regulation	Schedule 4	Employment	Recruitment action	Choose to proceed with recruitment action that was pending under the former Act immediately before 24 February 2014 or that is commenced under the new Act before 24 February 2015:		1; 2;
	3 (2)	(2)		<ul><li>(a) under the provisions of the former Act, or</li><li>(b) under the provisions of the new Act.</li></ul>		
GSE Rules	5 (1)	Employment	Probation periods	Determine that a person engaged in ongoing employment in the public service for the first time is required to serve a period of probation and satisfy the requirements of the role.	Section 36, , 48(c) GSE Act	1; 2;
GSE Rules	5 (2) (a)	Employment	Probation periods	Approve a period of probation for a senior executive of no more than 3 months.	Section 36, 48(c) GSE Act	Not delegated
GSE Rules	5 (2) (b)	Employment	Probation periods	Direct that a non-executive employee serve a period of probation that is longer than 6 months but cannot exceed 12 months.	Section 48(c) GSE Act	1; 2;
GSE Rules	5 (3) & (4)	Employment	Probation periods	Extend a period of probation for a non-executive employee before it expires, as long as the period does not exceed 12 months.	Section 48(c) GSE Act	1; 2;
GSE Rules	5 (5) (a)	Employment	Probation periods	Confirm a senior executives' employment at any time during or at the end of the probationary period.	Section 36, 48(c) GSE Act	Not delegated
GSE Rules	5 (5) (a)	Employment	Probation periods	Confirm a non-executive employees' employment at any time during or at the end of the probationary period.	Section 36, 48(c) GSE Act	1; 2; 3;
GSE Rules	5 (5) (b)	Employment	Probation periods	Terminate a senior executives' employment at any time during or at the end of the probationary period.	Section 36, 41 GSE Act	Not delegated
GSE Rules	5 (5) (c)	Employment	Probation periods	Terminate employment of a non-executive employee at any time during or at the end of the probationary period if the person has not satisfied the requirements of the role in which they are employed.	Section 47, 48(c) GSE Act	1; 2;
GSE Rules	7 (1)	Employment	Formal qualifications	Determine the qualifications necessary for performing the duties of the role in which a person is to be engaged.		1; 2;
GSE Rules	7 (2)	Employment	Formal qualifications	Determine the time and manner in which a person is to provide evidence of their qualifications which have not been provided when conditionally engaged.		1; 2;
GSE Rules	8 (1)	Employment	Security and other clearances	Determine the security or other clearances necessary for performing the duties of the role in which a person is to be assigned.		1; 2;

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GSE Rules	9 (4)	Health	Health assessment	Provide the health care professional with any requested information about the role concerned that is reasonably required in order to provide the assessment.		1; 2; 3;
				Approve the temporary assignment of an employee to another role in the agency or another role in another Public Service agency, with the	Section 48(g) GSE Act	
GSE Rules	11 (2) (a)	Employment	Temporary assignment	agreement of the agency head, if the employee is a senior executive and the person usually assigned to the role in unavailable or no person is assigned to the role.	Clause 21 (3) GSE Regulation	Not delegated
GSE Rules				Approve the temporary assignment of an employee to another role in the	Section 48(g) GSE Act	
	11 (2) (b)	Employment	Temporary assignment	agency if the employee is a non-executive employee and is assigned to a senior executive role and the person usually assigned to the role in unavailable or no person is assigned to the role.	Clause 21 (1) GSE Regulation	1; 2;
GSE Rules					Section 48(g) GSE Act	
	11 (2) (c)	Employment Temperary assignment	Approve the temporary assignment of an employee in all other circumstances not prescribed in sub rule 11 (2) (a) & (b) where the person usually assigned to the role in unavailable or no person is	Section 64 GSE Act	1; 2;	
				assigned to the role.	Clause 20 GSE Regulation	
GSE Rules	11 (3)	Employment	Temporary assignment	Approve the temporary assignment of a senior executive to a role in another agency with the agreement of the head of that other agency.	Section 64 GSE Act	Not delegated
GSE Rules	11 (4)	Employment	Temporary assignment	Terminate a temporary assignment made under sub rule 11 (2) at any time.		1; 2;
GSE Rules	12 (1)	Employment	Converting temporary or term employment to ongoing employment	Convert the employment of a person in temporary or term employment to ongoing employment, if the person has been employed in the temporary or term employment for at least 12 months and the ongoing employment is at-level, subject to the conditions specified in sub rules 12 (2) (a) & (b).	Section 48(b) GSE Act	1; 2;
GSE Rules	13 (1)	Employment	Excess non-executive	Determine that a person who is employed in ongoing employment in the agency, other than as a senior executive, to be excess to the	Section 74(1) GSE Act	1; 2;
<u> </u>			employees	requirements of the relevant part of the agency having regard to sub rule 13 (2).	Rule 23	-, -,

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GSE Rules	14 (1)	Termination	Termination of employment	Consider submission made in relation to the proposed termination of a non-executive employee.	Section 47 GSE Act	1; 2;
GSE Rules	23	Employment	Limited advertising for employment	Consider that a significant number of employees affected by a restructure are likely to be excess and limit recruitment to the candidates employed in ongoing employment and in temporary employment for a period of at least 12 months.	Section 74 GSE Act Rule 13	1; 2;
GSE Rules	25 (1)	Employment	Conduct and findings of review	Appoint a senior executive who was not involved in the selection process to be the reviewer of a promotion decision in accordance with rule 24.	Rule 24	1; 2;
GSE Rules	25 (3) (b)	Employment	Conduct and findings of review	Revoke a promotion decision and carry out another selection process for the role.	Rule 24	1; 2;
GSE Rules	26 (1)	Employment	Employment of eligible persons	Employ an eligible person as a Public Service non-executive employee subject to the conditions specified in sub rules (2), (3) & (4).	Section 63(3) GSE Act	1; 2;
GSE Rules	29 (1)	Employment	Transfer of employees between government sector agencies	Agree to transfer a non-executive employee at the same grade or level to or from another government sector agency in accordance with the conditions specified in sub rules 29 (2), (3), (4) & (5) and subject to sub rule 30 (1).	Section 64 GSE Act	1; 2
GSE Rules	31 (1)	Employment	Secondment of employees between government sector agencies	Agree to the secondment of a non-executive employee for a period not exceeding 2 years to or from another government sector agency in accordance with the conditions specified in sub rules 31 (2), (3), (4), (5), (6), (7) & (8).	Section 64 GSE Act	1; 2
GSE Rules	34 (10)	Employment	Review of employer-initiated transfers or secondments	Decide on the outcome of a review of an employer-initiated transfer or secondment and notify the employee concerned of the decision.	Section 64 & 66 GSE Act	1; 2
GSE Rules	38 (2)	Misconduct	Initial stage of dealing with allegations of misconduct	Assess an allegation of misconduct by an employee and decide whether to proceed or not to proceed with the matter in accordance with the conditions specified in sub rules 38 (2), (3), (4), (5) & (6).	Section 69 GSE Act	1; 2
GSE Rules	38 (5)	Misconduct	Initial stage of dealing with allegations of misconduct	Decide to proceed or not to proceed with the allegation of misconduct as a result of a statement provided by the relevant employee.	Section 69 GSE Act	1; 2
GSE Rules	39 (1)	Misconduct	Inquiries	Conduct such inquiries as appropriate for the purposes of determining whether misconduct has occurred.	Section 69 GSE Act	1;
GSE Rules	40 (1)	Misconduct	Findings by agency head	Make a finding of whether misconduct by the relevant employee has or has not occurred.	Section 69 GSE Act	1;

Source	Reference	Keyword	Subject	Summary of Provision	Related	Level of
Document	Reference	Reyword	Subject	Summary of Frovision	References	Delegation
GSE Rules	40 (3)	Misconduct	Findings by agency head	Require the conduct of the employee to be monitored over a specified period instead of taking action under section 69(4) if a finding of misconduct is made.	Section 69 GSE Act	1;
GSE Rules	40 (4)	Misconduct	Findings by agency head	Take action under section 69 (4) of the Act where satisfied that an employee has engaged in misconduct of the same or similar kind as the misconduct the subject of the previous finding.	Section 69 GSE Act Rule 40 (5)	1;
GSE Rules	41 (2)	Misconduct	Records relating to misconduct	Decide to include information about any finding of misconduct by the employee that is in the public interest, to include on an employee's personnel file, having regard to the nature and seriousness of the misconduct and the need to minimise any unnecessary or prejudicial information being kept on a personal file.	Section 69 GSE Act	1;
GSE Rules	42	Termination	Report on termination of employment of Public Service senior executives	Provide a written report to the PSC Commissioner on the termination of a public service senior executive.		Not delegated
GSE Rules	48 (1)	Employment	Part-time work	Approve a request by a senior executive to undertake work on a part-time basis.	Section 36(e) GSE Act	Not delegated
TECA	3.2	Payments and Remuneration	Application	Offer any or all of the benefits available under TECA in writing to a new or existing staff member on recruitment or appointment as part of an attraction and retention measure, where the staff member is otherwise ineligible for the benefits under TECA.		1; 2;
TECA	4.8	Payments and Remuneration	Definition	To determine that reimbursement of an expense which is actually incurred by the staff member is reasonable, and for which adequate evidence is produced by the staff member.		1; 2;
TECA	4.10	Payments and Remuneration	Definition	Approve that an employee is a "Transferred Employee" where the employee does not fit within the definition of a transferred employee in clause 4.10.		1; 2;
TECA	5.1	Payments and Remuneration	Notice of transfer	To give, in writing, as long a period of notice of transfer as is practicable but will not be transferred with less than ten working days' notice in writing except in special or urgent circumstances.		1; 2;
TECA	6.2	Payments and Remuneration	Leave	Grant additional special leave, as considered necessary if satisfied that the activities referred to in subclause 6.1 cannot be completed within five working days.		1; 2;

Source Document	Reference	Keyword	Subject	Summary of Provision	Related References	Level of Delegation
TECA	6.4	Payments and Remuneration	Leave	Determine, in consultation with the transferred employee, a time suitable for taking accumulated special leave to return home.		1; 2; 3
TECA	8.4	Payments and Remuneration	Temporary accommodation	Require full particulars to be supplied where the period of four weeks referred to in subclause 8.1 is not sufficient for the transferred employee to obtain suitable permanent accommodation and consider each case on its merits.		1; 2;
TECA	8.6	Payments and Remuneration	Temporary accommodation	Discontinue the payment of Temporary Accommodation Benefits where satisfied that a transferred employee has rejected suitable accommodation.		1; 2;
TECA	9.3	Payments and Remuneration	Temporary accommodation	Require transferred employees to show evidence of difficulties in obtaining cheaper private accommodation, including the provision by a transferred employee of a statutory declaration.		1; 2;
TECA	9.4	Payments and Remuneration	Temporary accommodation	Extend, in exceptional circumstances, excess rent payments beyond six months, including in areas where there is an acute shortage of housing of a reasonable standard, and areas experiencing extremely high rents due to conditions which are abnormal compared with those generally in New South Wales.		1; 2;
TECA	10.1.3	Payments and Remuneration	Removal and storage expenses	Consider cases where the cost of insuring furniture and effects whilst in transit up to an amount of \$38,000 is exceeded.		1; 2;
TECA	10.1.4	Payments and Remuneration	Removal and storage expenses	Determine that an advanced payment to cover the whole or part of the removal expenses is not appropriate.		1; 2;
TECA	10.2	Payments and Remuneration	Removal and storage expenses	Reimburse the cost of transport and storage if satisfied that a transferred employee is unable to secure suitable accommodation at the new location and allow the cost of insurance of furniture while in storage on the same basis as prescribed in paragraph 10.1.3 of this clause.		1; 2;

Source Document	Reference	Keyword	Subject	Summary of Provision	Related References	Level of Delegation
TECA	11.1	Payments and Remuneration	Depreciation and disturbance allowance	Pay a Depreciation and Disturbance Allowance of \$1,126 compensation for the accelerated depreciation of personal and/or household effects to the value of \$7,037 or pro rata if the value is less, if satisfied that a transferred employee has removed a substantial portion of the household's furniture, furnishings and fittings.		1; 2;
TECA	13.7	Payments and Remuneration	Reimbursement of transaction expenses	Consider payment of transaction expenses on a sale and/or purchase of a residence more than 2 years after relocation, if satisfied there is good reason. The transferred employee must provide full details of why the sale and/or purchase could not be completed within the 2-year period.		1; 2;
TECA	16.1	Payments and Remuneration	Additional benefits	Offer additional support or benefits not specifically referred to in this award to assist in the attraction, recruitment or relocation of a staff member to a location subject to approval from the Secretary Treasury. For example, this may include assistance with housing, education or career development expenses.		1; 2;

# 4. Appendix 1 – Instrument of delegation



### INSTRUMENT OF DELEGATION

Under section 32 of the Government Sector Employment Act 2013, ("the Act"), I, Elizabeth Tydd, Information Commissioner and Chief Executive Officer, Information and Privacy Commission, hereby delegate my functions as agency head to the delegate levels as specified in the attached Information and Privacy Commission Human Resources Delegation 2022.

The functions of agency head delegated are limited to those described in the Information and Privacy Commission Human Resources Delegation 2022 and cannot be sub-delegated unless specifically authorised under section 32(2) of the Act.

These delegations replace any existing delegations on these matters.

This delegation is to have effect on and from 31 May 2023.



Elizabeth Tydd

Chief Executive Officer and Information Commissioner

### **Document information**

Identifier/Title:	IPC Human Resource Delegations
Business Unit:	IPC
Author:	Director, Business Improvement
Approver:	IPC CEO, Information Commissioner
Date of Effect:	May 2023
Next Review Date:	May 2025
EDRMS File Reference:	D18/357334/DJ
Key Words: Delegation, Human Resources, Legislation, Regulation, CEO, Delegat	

### **Document History**

Date	Source Document	Reason	Document Number
26/05/2014	Government Sector Employment Act; Regulation; and Rules; Crown Employees (Public Service Conditions of Employment) Award and the Crown Employees (Transferred Employees Compensation) Award; Inaugural DAGJ Human Resources Delegations	To issue new delegations consistent with the GSE Act and the DPJ approach.	0.1
30/10/2014	Instrument of Delegation  – Commissioner Head  PSC re rule 9	Added delegation.	0.2
11/11/2014	Instrument of Delegation	Revisions and corrections	0.3
12/11/2014	Human Resources Delegation	Final	1.0
9/11/2020	Human Resources Delegation	Delegation reviewed and updated	1.1
28/10/2021	Human Resources Delegation	Addition of a new role under Level 3, inclusion of employer functions under Section 31 of GSE Act, amended reference to legislation and signed delegation	1.2

Date	Source Document	Reason	Document Number
22/11/2022	Human Resources Delegation	Delegation reviewed and updated	1.3
31/05/2023	Human Resources Delegation	Delegation reviewed and updated	1.4